

CCOICI Recommendations Report Review of Statement of Interest (SOI) Requirements

Council Meeting – 24 August 2023



Background

CCOICI tasked GNSO SOI Task Force (TF) in Sept 2021 to consider:

1. Is the original objective of the SOI, as stated in the BGC WG Report¹, still valid? If not, why not and what should the current objective be?
 2. Based on the response to question 1), is the requested information to be provided as part of the SOI still fit for purpose? If not, why not, and what would need to be changed to make it fit for purpose?
- ⊙ Are there any further measures that should be considered from an enforcement / escalation perspective, in addition or instead of those already included in the requirements?

Background

- ⦿ TF delivered its report in April 2023 with recommendations that achieved full consensus apart from one essential element in relation to the ability to exempt declaring who someone is representing in case of professional ethical obligations preventing complications.
- ⦿ CCOICI was not able to resolve different views on the exemption issue.
- ⦿ That said, CCOICI agreed to submit all the other TF recommendations that achieved full consensus while leaving the existing SOI language in relation to exemption as is.

Recommendations (summary version)

- ⦿ Divide current template into two parts, namely:
 - a) **General Statement of Interest** which contains general information about a participant (parent).
 - b) **Activity Specific Statement of Interest** which contains information that is provided specific to the activity, for example, motivation for participation (child).

- ⦿ Questions are derived from existing SOI but divided into appropriate category.

Recommendations (summary version)

- ⦿ Activity Specific Statement of Interest needs to be provided for each activity that a participant signs up for. As part of the introductory meeting of a new activity, each participant is expected to introduce their activity specific statement of interest and address any questions other participants may have.
- ⦿ Both SOIs must be updated in a timely manner with a yearly reminder to be sent for the general SOI. If no response is received, SOI will be marked as 'inactive'.
- ⦿ Updates to be made to GNSO Operating Procedures to implement these recommendations.

SOI Exemption

Existing SOI Language in relation to exemption will remain (emphasis added):

10) Do you believe you are participating in the GNSO policy process as a representative of any individual or entity, whether paid or unpaid?

If the answer is “Yes,” please provide the name of the represented individual or entity. **(If professional ethical obligations prevent you from disclosing this information, please enter "Private"):**

Annex A includes further information about the CCOICl’s consideration of the exemption option as well as alternative language that was considered but which did not reach full consensus.

Next Steps



Council to consider recommendations for adoption during its September meeting;



Assuming adoption, staff support team to develop transition and implementation plan;



Updated operating procedures only to be effective once implementation plan is in place.